

PTO/SB/21 (02-04)

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| TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i> | Application Number | 10/075,733 |
| | Filing Date | February 14, 2002 |
| | First Named Inventor | Ulrich Behrendt |
| | Art Unit | 1723 |
| | Examiner Name | K.S. Menon |
| Total Number of Pages in This Submission | Attorney Docket Number | 21102 |

| ENCLOSURES (Check all that apply) | | |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance communication to Group |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment/Reply | <input type="checkbox"/> Petition | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Terminal Disclaimer | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
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| <input checked="" type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> CD, Number of CD(s) _____ | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | Remarks | |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application | Translation of German Office Action; References (3); and Statement Under 37 CFR Section 1.97(e) | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | |
| Firm or Individual name | John P. Parise | |
| Signature | | |
| Date | April 4, 2005 | |

| CERTIFICATE OF TRANSMISSION/MAILING | | |
|---|----------------|-----------------------|
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. | | |
| Typed or printed name | John P. Parise | |
| Signature | | Date April 4, 2005 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Patent Application

Inventors: Ulrich Behrendt et al

Group: 1723

Serial No. 10/075,733, filed February 14, 2002
Docket No. 21102

Examiner: K.S. Menon

For: **HOLLOW FIBER MEMBRANE MODULE**

STATEMENT UNDER 37 CFR §1.97(e)

Nutley, New Jersey 07110

Date: April 4, 2005

Mail Stop: Amendments
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I hereby certify:

X That each item of information contained in the enclosed Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of this Supplemental Information Disclosure Statement.

Respectfully submitted,

Attorney for Applicant(s)

John P. Parise

(Reg. No. 34,403)

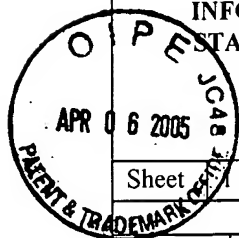
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| Substitute for form 1449A/PTO | | Complete if Known | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) | | Application Number | 10/075,733 |
| | | Filing Date | February 14, 2002 |
| | | First Name Inventor | Ulrich Behrendt |
| | | Group Art Unit | 1723 |
| | | Examiner Name | K.S. Menon |
| Sheet 1 | of 1 | Attorney Docket Number | 21102 |

| U.S. PATENT DOCUMENTS | | | | | |
|--------------------------------|-----------------------|----------------------|--------------------------------------|---|---|
| Examiner Initials ¹ | Cite No. ¹ | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited document MM-DD-YYYY |
| | | Number | Kind Code ² (if known) | | |
| | A4 | 3,728,256 | | WILLIAM W. COOPER IV | 04-17-1973 |
| | A5 | 4,218,313 | | JAMES D. AID et al | 08-19-1980 |
| | A6 | 4,271,014 | | JAMES D. AID et al | 06-02-1981 |
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| FOREIGN PATENT DOCUMENTS | | | | | | | |
|--------------------------------|-----------------------|-------------------------|---------------------|--------------------------------------|---|---|----------------|
| Examiner Initials ¹ | Cite No. ¹ | Foreign Patent Document | | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | T ⁶ |
| | | Office ³ | Number ⁴ | Kind Code ⁵ (if known) | | | |
| | B12 | DE | 2650588 | A1 | HOHFLASER-DIALYSATOR | 05-18-1977 | √ |
| | B13 | JP | 08108049 | A | DAINIPPON INK & CHEM INC | 04-30-1996 | |
| | B14 | DE | 19806293 | A1 | FRESENIUS MEDICAL CARE DEUTSCHLAND GMBH | 08-19-1999 | √ |
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| Examiner Signature | | Date Considered | | | | | |

¹ EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
² Unique citation designation number. ³ See attached Kinds of U.S. Patent Documents. ⁴ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁵ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁶ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation or abstract is attached.



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application

Inventor(s): Ulrich Behrendt et al

Group: 1723

Serial No. 10/075,733, filed February 14, 2002
Docket No. 21102

Examiner: K.S. Menon

For: **HOLLOW FIBER MEMBRANE MODULE**

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

04/06/2005 FME TEK11 00000060 082525 10075733

01 FC:1806 180.00 DA

Nutley, New Jersey 07110

Date: April 4, 2005

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicants respectfully direct the Examiner's attention to the documents listed on enclosed Form PTO-1449.

Documents A4-A8 and B12-B14 are listed on Form PTO-1449. Documents A4-A8 are not enclosed because they are U.S. Patents

Enclosed is a translation of a German Office Action dated January 5, 2005, issued by the German Patent Office in DE 101 06 722 A1 application corresponding to the captioned application. The Office Action lists Documents A4, B12-B14. Document B12, DE 2650588 corresponds to Document A5, U.S. Patent No. 4,218,313; Document A6, U.S. Patent No. 4,271,014; Document A7, U.S. Patent No. 4,289,623; and Document A8, U.S. Patent No. 4,496,458; which are not being submitted because they are U.S. Patents. Also, Document B14, which is in German, includes an English language abstract.

Serial No. 10/075,733
Filed: February 14, 2002

Consideration of all the documents cited on Form PTO-1449 is requested.

Since the Supplemental Information Disclosure Statement is submitted after a Final Action or Notice of Allowance, Applicants petition that the Supplemental Information Disclosure Statement be considered. Submitted is a Fee Sheet and a certificate under 37 C.F.R. § 1.97(e).

Respectfully submitted,



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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inte patent application

Inventors: UlrichBehrendt et al

Serial No.: 10/075,733

Filed: February 14, 2002

Docket No. 21102

Hoffmann-La Roche Inc.
340 Kingsland Street
Nutley, NJ 07110
April 4, 2005

Group: 1723

Examiner: K.S. Menon

For: **HOLLOW FIBER MEMBRANE MODULE**

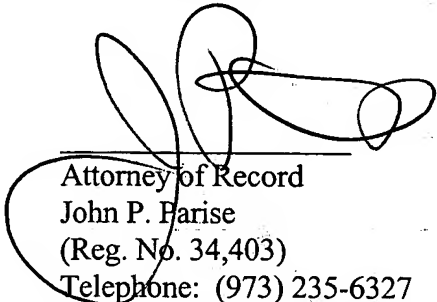
REQUEST TO CHARGE DEPOSIT ACCOUNT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is a **SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT** in the above-identified application.

- ☒ Please charge my Deposit Account No. 08-2525 in the amount of \$180.00 which is the fee in connection with the filing of the enclosed paper.
- ☒ The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 08-2525.
- ☒ This sheet is provided in duplicate.


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